# CISC 3320 C22a Process Synchronization: Classical Problems

Hui Chen

Department of Computer & Information Science
CUNY Brooklyn College

# Acknowledgement

 These slides are a revision of the slides provided by the authors of the textbook via the publisher of the textbook

# Classical Problems of Synchronization

- Classical problems used to test newlyproposed synchronization schemes
  - Bounded-Buffer Problem
  - Readers and Writers Problem
  - Dining-Philosophers Problem

#### Bounded-Buffer Problem

- n buffers, each can hold one item
- A producer produces an item and inserts to the buffer
- A consumer removes an item from the buffer and consumes it.

## Solution to Bounded-Buffer Problem

- Example solution using semaphores
  - A binary semaphore and two counting semaphores
  - Semaphore mutex initialized to the value 1
  - Semaphore full initialized to the value 0
  - · Semaphore empty initialized to the value n

#### Producer Process

```
while (true) {
     /* produce an item in next produced */
   wait(empty);
   wait(mutex);
     /* add next produced to the buffer */
    signal(mutex);
    signal(full);
```

#### Consumer Process

```
while (true) {
      wait(full);
      wait(mutex);
       /* remove an item from buffer to next_consumed */
      signal(mutex);
      signal(empty);
       /* consume the item in next consumed */
```

# Questions?

- Producer-consumer problem
- Example solution using semaphores

#### Readers-Writers Problem

- A data set is shared among a number of concurrent processes
  - Readers only read the data set; they do not perform any updates
  - Writers can both read and write
- Problem
  - · Allow multiple readers to read at the same time
  - Allow only one single writer to access the shared data at the same time, and
  - Variations

## Variations of Readers-Writers Problem

- The first readers-writers problem
- The second readers-writers problem, and
- Other variations

# The First Readers-Writers Problem

- Requires that no reader be kept waiting unless a writer has already obtained permission to use the shared object.
- In other words, no reader should wait for other readers to finish simply because a writer is waiting.

# The Second Readers-Writers Problem

- Requires that, once a writer is ready, that writer perform its write as soon as possible.
- In other words, if a writer is waiting to access the object, no new readers may start reading.

# Solution to the First Readers-Writers Problem

- Shared Data
  - Data set
  - · Semaphore rw\_mutex initialized to 1
  - Semaphore mutex initialized to 1
  - Integer read\_count initialized to 0

#### Writer Process

```
while (true) {
    wait(rw mutex);
    /* writing is performed */
    signal(rw mutex);
```

#### Reader Process

```
while (true) {
            wait(mutex);
            read count++;
             if (read count == 1) wait(rw mutex);
             signal(mutex);
             /* reading is performed */
            wait(mutex);
             read count--;
             if (read count == 0) signal(rw mutex);
             signal(mutex);
```

# Readers-Writers Problem Variations

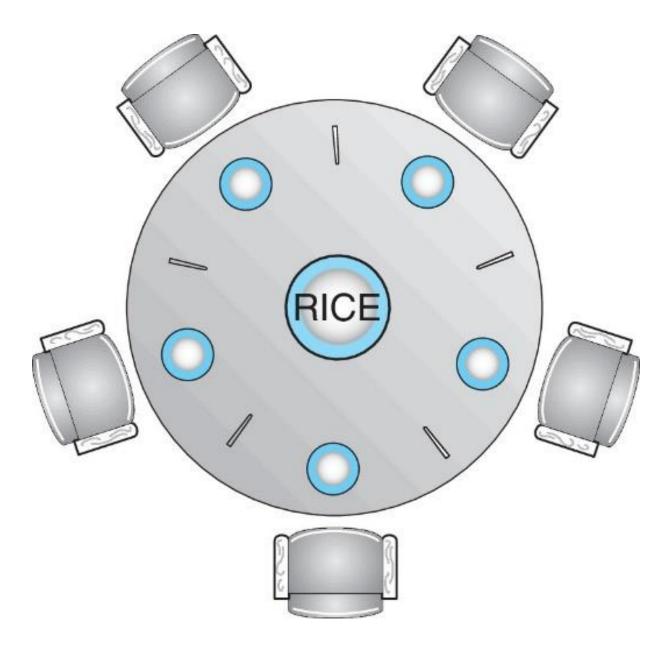
- First variation no reader kept waiting unless writer has permission to use shared object
- Second variation once writer is ready, it performs the write ASAP
- Both may have starvation leading to even more variations
- Problem is solved on some systems by kernel providing reader-writer locks

# Questions?

- The Readers-Writers problem
- Variations of The Readers-Writers problem
- Solution to the First Readers-Writers problem.

# Dining-Philosophers Problem

- Philosophers spend their lives alternating thinking and eating
- Don't interact with their neighbors
- Occasionally try to pick up 2 chopsticks, <u>one</u>
   <u>at a time</u>, to eat from bowl
  - Need both to eat, then release both when done
- Starvation and deadlock



## Solution to Dining-Philosophers Problem

- Assume there are 5 philosophers
- Shared data
  - Bowl of rice (data set)
  - Semaphore chopstick [5] initialized to 1

### The Structure of Philosopher i

```
1. while (true) {
2.
          wait (chopstick[i] );
          wait (chopStick[ (i + 1) % 5] );
3.
4.
             /* eat for awhile */
5.
          signal (chopstick[i] );
6.
           signal (chopstick[ (i + 1) % 5] );
7.
             /* think for awhile */
8. }
```

What is the problem with this algorithm?

# Deadlock May Happen

What if Process i and (i+1) both completes
 Line 2?

# Monitor Solution to Dining Philosophers

· A deadlock free solution

#### Monitor Solution

```
monitor DiningPhilosophers
{
  enum { THINKING; HUNGRY, EATING) state [5] ;
  condition self [5];
  void pickup (int i) {
         state[i] = HUNGRY;
         test(i);
         if (state[i] != EATING) self[i].wait;
  }
   void putdown (int i) {
          state[i] = THINKING;
                   // test left and right neighbors
          test((i + 4) % 5);
          test((i + 1) % 5);
  }
```

#### Monitor Solution

```
void test (int i) {
       if ((state[(i + 4) % 5] != EATING) &&
       (state[i] == HUNGRY) &&
       (state[(i + 1) % 5] != EATING) ) {
            state[i] = EATING ;
        self[i].signal () ;
initialization code() {
      for (int i = 0; i < 5; i++)
      state[i] = THINKING;
```

#### Starvation

• Each philosopher i invokes the operations pickup() and putdown() in the following sequence:

```
DiningPhilosophers.pickup(i);

/** EAT **/
DiningPhilosophers.putdown(i);
```

- No deadlock, but starvation is possible
- Dealing with starvation?

# Questions?

- Bounded-Buffer Problem
- Readers and Writers Problem
- Dining-Philosophers Problem
  - Semaphore solution
  - Monitor solution
  - Deadlock and starvation